

## FIRM

Occasio Partners, LLC is an alternative investment firm that focuses on generating low-risk returns by utilizing differing markets and various time frames to deliver consistent results.

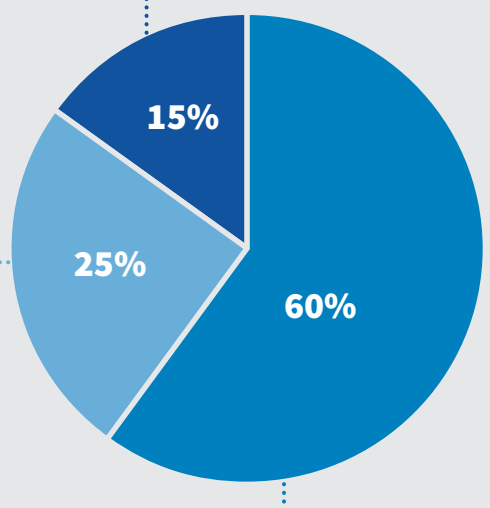
## STRATEGY

Diversified markets and three specific time frames is our main focus. We are engaged in a wide-spread number of markets including: commodities, currencies, fixed-income (domestic and foreign) and equities (long/short). **Our goals and trading strategies are simple, and transparent:**

<b>Provide absolute positive returns</b>	<b>Preserve capital and mitigate drawdowns</b>	<b>Ensure low correlation</b>
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## THREE DEFINED TIME FRAMES

- 1 Long term positions** .....  
Consisting of equity ideas (long/short) or macro trends.
- 2 Intermediate trend trading** .....  
Also referred to as “swing trading.” Shorter term set-ups (usually involving days, not weeks or months) with defined stops and targets. Typical set-ups for this frame revolve around company specific news or macro-economic data releases.
- 3 Active Daily** .....  
Extremely short term trading on a daily basis with the objective to generate daily positive cash flow and, thereby, to help enhance the fund’s overall return.



## **PRODUCTS AND ALLOCATIONS**

The fund uses common equity, equity options (spreads), ETF's, and front-month liquid futures only. All markets are traded with a long/short bias. There are no pre-set parameters with regard to capital allocation for the three different time frames. Distribution is based currently on levels of volatility, risk control, and potential favorable set-ups. The fund is typically heavy in cash on an end-of-day basis, but parameters can change as market conditions change.

## **CLARITY AND TRANSPARENCY**

We inform our partners of the investment decisions, objectives, and results in a monthly update letter. The letter is typically 8-10 pages and covers not only the fund's results and objectives, but also other thoughts about the markets and potential future areas of interest.

## **SUMMARY**

*Occasio* in Latin roughly translates to “opportunity.” This is the driving force behind our *modus operandi*, and the way we navigate markets. Simply put, we are constantly on the hunt for opportunities for making and enhancing trades and investments. We are not defined or restricted by any certain style. We let the markets dictate how much risk we will layer ourselves with and what time frame will best define our chance for success.

The fund emphasizes simplicity and clarity. We do not use algorithmic trading programs or opaque investment vehicles. We do not use excessive leverage (typically 2-1). We do not let one time frame meld into another from a lack of discipline. Our time frames are sharply delineated and strictly adhered to, greatly reducing exposure to risk.

By trading a variety of markets with different time frames we feel that we can not only provide greater diversification, but furnish better risk control than those funds that are highly specialized and dependent on a single, restrictive style. We engage in an actively managed fund rather than follow the currently popular trend of passive management funds. While pursuing an actively managed fund may currently be out of favor, the passive management style has a certain risk profile that has yet to be exposed. It has not been exposed due to the low levels of volatility and placid market environment that we have experienced for quite a while now.

This condition has led to an incredibly high degree of complacency amongst investors. Consequently, many current investors have never been exposed to volatile market conditions or, much less, 10-20% corrections, which over the course of history have proven not to be uncommon. Such investor complacency has led to massive amounts of capital allocated to a singular style. Which is fine, until that strategy begins to falter, and everyone decides to readjust their risk profiles at once. That scenario can then lead to outsized market moves and thinning amounts of liquidity, and can be severely detrimental to price action.

*Occasio Partners'* methodology originates in a belief that is the direct opposite. It lends itself to higher levels of cash and shorter time frames, all with the aim of producing consistent returns and lower levels of drawdown. Granted, this operational mode is less sought after, though nevertheless successful, in a slow, trending market; the advantage is that it will also prove both profitable and less volatile in rockier markets. There is obviously no guarantee that a change in environment will both negatively affect one methodology while shining a good light on another. But based on previous periods of large drawdowns (i.e., 2013), *Occasio Partners'* methodology has shown to hold up exceptionally well during periods of high consternation.

## RISKS

Occasio Partners, LLC are subject to stock market risk, meaning stocks, options, and futures in the Fund may fluctuate in response to developments at individual companies or due to general market and economic conditions. Returns reflect reinvested capital gains and dividends but not the deduction of taxes an investor would pay on distributions or share redemptions. Performance data quoted represent past performance.

The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance of the fund may be lower or higher than the performance quoted. Performance data current to the most recent month end may be readily obtained.

For fee structure and minimum initial investment information, or current monthly update performance letters, please contact Occasio Partners, LLC at **info@Occasio-Partners.com**.

## TAXES

The Fund's distributions are generally taxed as ordinary income or capital gains unless you are investing through a tax-deferred arrangement, such as a 401(k) plan or an IRA, or you are a tax-exempt investor.